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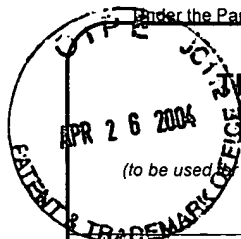
1743

PTO/SB/21 (02-04)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/759,711
Filing Date	January 12, 2001
First Named Inventor	Douglas N. Modlin
Art Unit	1743
Examiner Name	Jeffrey R. Snay
Attorney Docket Number	LJL 109A1

Total Number of Pages in This Submission

4+

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (Second Supplemental) Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO-1449 form (1 page) 2. 10 U.S. patents
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James R. Abney, Esq. Kolisch Hartwell, P.C.
Signature	<i>James R. Abney</i>
Date	April 23, 2004

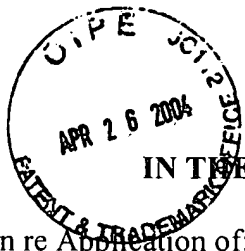
## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Renee Knight		
Signature	<i>Renee Knight</i>	Date	April 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DOUGLAS N. MODLIN

Date: April 23, 2004

Examiner Jeffrey R. Snay

Serial No. : 09/759,711

Group Art Unit 1743

Filed : January 12, 2001

For : EVANESCENT FIELD ILLUMINATION  
DEVICES AND METHODS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98**

Applicant is submitting this Second Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Second Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicant respectfully requests that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

**CONTENT OF DISCLOSURE**

This Second Supplemental Information Disclosure Statement includes (1) one page of PTO-1449 forms, and (2) a legible copy of each reference listed on the form(s).



## TIMING OF DISCLOSURE / FEE INFORMATION

This Second Supplemental Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Moreover, in accordance with 37 C.F.R. § 1.97(e)(2), the undersigned states that no item of information contained in this Second Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Second Supplemental Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Second Supplemental Information Disclosure Statement. Therefore, in accordance with 37 C.F.R. §§ 1.97(b)(3), (b)(4), or (c)(1), no fee is required.

Please contact the undersigned with any questions or comments regarding this Second Supplemental Information Disclosure Statement.

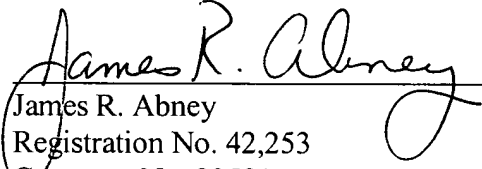
### CERTIFICATE OF MAILING

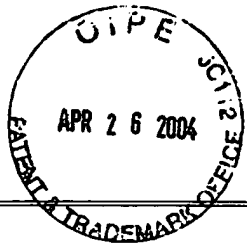
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Renee Knight

Respectfully submitted,

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FORM PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION		DOCKET NUMBER LJL 109A1		APPLICATION NUMBER 09/759,711		
		APPLICANT Douglas N. Modlin				
		FILING DATE January 12, 2001		GROUP ART UNIT - 1743		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.
	5,532,128	7/2/96	Eggers et al.			
	5,858,804	1/12/99	Zanzucchi et al.			
	6,106,784	8/22/00	Lund et al.			
	6,376,233	4/23/02	Wolf et al.			
	6,602,714	8/5/03	Tagge et al.			
	6,613,285	9/2/03	Carnahan			
	6,635,470	10/21/03	Vann			
	6,649,357	11/18/03	Bryan et al.			
	6,668,230	12/23/03	Mansky et al.			
	6,670,115	12/30/03	Zhang			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS						
EXAMINER			DATE CONSIDERED			